

LOCAL LAW INTRO. NO. - 2006

A LOCAL LAW amending Sections 883.61, 883.71 and 883.81 of the Laws of Westchester County to provide for a separate financial disclosure form for individuals who serve on certain County Boards, commissions and voluntary councils and an updated distribution list.

BE IT ENACTED by the County Board of the County of Westchester as follows:

Section 1. Subdivision 1 of section 883.61 of the Laws of Westchester County is repealed and a new subdivision 1 of section 883.61 is hereby created to read as follows:

Sec. 883.61. Disclosure form; distribution.

1. On or before the 15th day of March of each year, the County Executive shall, cause to be distributed, for completion and filing, to those persons filling the following positions, including individuals appointed to serve in certain voluntary positions, a form substantially similar to that set forth in Form A as contained in section 883.71 of this chapter:

**Board of Elections:**

Commissioner of Elections  
Deputy Commissioner of Elections

**Board of Health:**

All Appointees/Members

**Board of Legislators:**

Assistant to the Chairman and Legislative Officer-County Board  
Chief Advisor to the Chair of the Board of Legislators  
Clerk of the County Board of Legislators and Chief of Staff  
Communications Officer-Board of Legislators  
County Legislator  
Deputy Clerk to the County Board of Legislators and Deputy Chief of Staff  
Director of Fiscal Affairs-BOL  
Legislative Counsel

**Budget Department:**

Assistant Budget Director  
Associate Budget Director  
Budget Director  
First Deputy Budget Director

**Department of Community Mental Health:**

Commissioner of Community Mental Health  
Deputy Commissioner of Community Mental Health  
Director of Administrative Services (Management Operations)  
Director of Alcohol and Substance Abuse Services  
Director of Developmental Disabilities Services  
Director-Employee Assistance Program

**Department of Correction:**

Associate Warden  
Commissioner of Correction  
Deputy Commissioner of Correction  
Director of Administrative Services (Management Operations)  
Second Deputy Commissioner of Correction  
Special Assistant to the Commissioner of Correction  
Warden

**Office of the County Clerk:**

Assistant to the County Clerk  
Chief Deputy County Clerk (Legal and Land Records)  
County Clerk  
Deputy County Clerk (General)  
Director of Program Development II (Trades Licensing)  
Executive Deputy County Clerk

**Office of the County Executive:**

Assistant to the County Executive I  
Assistant to the County Executive II

Assistant to the County Executive III  
Assistant to the County Executive IV  
Chief Advisor to the County Executive  
Chief of Staff-County Executive  
Coordinator of Veterans Affairs  
Counsel to the County Executive  
County Executive  
County Fire Coordinator  
Deputy County Executive  
Deputy Director of Economic Development  
Director of Communications  
Director of Economic Development  
Director of Intergovernmental Relations – County Executive  
Director of Real Estate  
Director of Research and Economic Development  
Director of Tourism  
Director-Office for the Disabled  
Director-Office for Women  
Director-Office of Criminal Justice Planning  
Director-Youth Bureau  
Executive Assistant for Office Management-County Executive  
LGBT Community Liaison  
Program Administrator (Minority Affairs-Spanish Speaking)  
Research Assistant-County Executive  
Senior Assistant to the County Executive I  
Senior Assistant to the County Executive II

**Office of the District Attorney:**

Chief Administrator-District Attorney  
Chief of Bureau-District Attorney  
Deputy District Attorney  
Director of Public Affairs  
District Attorney  
First Deputy District Attorney  
Second Deputy District Attorney

**Department of Emergency Services:**

Commissioner of Emergency Services  
Deputy Commissioner of Emergency Services

**Department of Environmental Facilities:**

Assistant Commissioner of Solid Waste  
Associate Director of Fiscal Services (Environmental Facilities)  
Commissioner of Environmental Facilities  
Deputy Commissioner of Environmental Facilities  
Deputy Commissioner of Solid Waste

Deputy Director-Wastewater Treatment  
Director of Administrative Services (Management Operations)  
Director of Program Development II (Personnel)  
Director-Wastewater Treatment  
Director-Water Agency  
Second Deputy Commissioner of Environmental Facilities

**Department of Finance:**

Commissioner of Finance  
Deputy Commissioner of Finance  
Deputy Purchasing Agent  
Director-Fiscal Operations  
First Deputy Commissioner of Finance  
Manager of Accounting Services  
Manager of Payroll and Benefits  
Purchasing Agent

**Department of Health:**

Assistant Commissioner of Health (Environmental Quality)  
Assistant Commissioner of Health (Nursing Services and Community Health)  
Assistant Commissioner of Health (Planning and Evaluation)  
Assistant Commissioner of Health (Public Health Protection)  
Assistant Commissioner of Health (Services for Children with Disabilities)  
Associate Director of Division (Community Health Services)  
Chief of Section (Clinical Services)  
Chief of Section (Communicable Diseases)  
Chief of Section (Dental)  
Commissioner of Health  
Deputy Commissioner of Health  
Deputy Commissioner of Health for Disease Control  
Director of Administrative Services (Management Operations)  
Director of Division (Health Services)  
Director-Fiscal Operations  
First Deputy Commissioner of Health  
Second Deputy Commissioner of Health

**Department of Human Resources:**

Commissioner of Human Resources  
Deputy Commissioner of Human Resources  
Deputy Director-Human Rights Commission.  
Director of Labor Relations  
EEO Coordinator  
Executive Director-Human Rights Commission

**Department of Information Technology:**

- Assistant Chief Information Officer
- Assistant Chief Information Officer-Geographic Information Systems
- Assistant Director of Telecommunications
- Chief Information Officer
- Deputy Chief Information Officer
- Director of Application Support
- Director of Knowledge Management and Archives
- Director of Program Development II (Information Technology Management Operations)
- Director of Systems Development and Application
- Director of Telecommunications
- Manager of Data Communications
- Manager of Geographic Information Systems
- Manager of Network Engineering
- Manager of Software Architecture
- Manager of Web Design
- Manager of Web Development
- Manager of Workstation Engineering
- Second Deputy Chief Information Officer

**Department of Laboratories and Research:**

- Chief of Environmental Laboratory Services
- Chief of Microbiology Services
- Director of Administrative Services (Management Operations)
- Director of Forensic Science Services
- Director of Toxicology
- Pathologist - Deputy Medical Examiner
- Pathologist - Medical Examiner

**Department of Law – Office of the County Attorney:**

- Assistant Chief Deputy County Attorney
- Associate County Attorney
- Chief Deputy County Attorney
- County Attorney
- Deputy County Attorney
- Director of Risk Management
- Senior Assistant County Attorney
- Special Assistant to the County Attorney

**Department of Parks, Recreation & Conservation:**

- Assistant Director of Division (PRC)
- Assistant Director-Playland
- Assistant Manager-County Center Operations
- Assistant Manager-County Center Sales and Marketing
- Commissioner of Parks, Recreation and Conservation

Controller-Playland  
Deputy Commissioner of Parks, Recreation and Conservation  
Director of Administrative Services (Management Operations)  
Director of Maintenance-PRC  
Director of Operations (Maintenance-PRC)  
Director of Operations (Marketing-PRC)  
Director of Operations (PRC)  
Director of Park Facilities-PRC  
Director of Program Development II (Capital Projects-Playland)  
Director of Program Development II (Community Relations)  
Director of Program Development II (Concessions)  
Director of Program Development II (Golf)  
Director of Program Development II (Historic and Interpretive Sites)  
Director of Program Development II (Naturalist)  
Director of Program Development II (Parks)  
Director of Program Development II (Personnel)  
Director-Playland  
Director-Programs and Services - PRC  
District Park Director  
First Deputy Commissioner of Parks, Recreation and Conservation  
Golf Course Superintendent  
Program Administrator (Conservation)  
Program Administrator (Contract Management)  
Program Administrator (Event Coordinator-County Center)  
Program Administrator (Park Management)  
Program Administrator (Sales and Marketing)  
Program Administrator (Special Services)  
Program Coordinator (Golf)  
Program Coordinator (Natural Resource Management)

**Parks, Recreation and Conservation Board**

All Appointees/Members

**Department of Planning:**

Assistant Commissioner of Planning  
Chief Planner  
Commissioner of Planning  
Deputy Commissioner of Planning  
Deputy Commissioner of Planning for Housing and Community  
Development  
Director of Management Operations  
Director of Natural Resource Planning  
Director of Operations (Urban Design)  
Director of Program Development II (Housing and Planning)  
Director of Program Development II (Research and Planning)  
Program Administrator (Building Rehabilitation Services)

Program Administrator (Contract Management)  
Program Administrator (Housing and Community Development)  
Senior Management Analyst

**Planning Board**

All Appointees/Members

**Department of Probation:**

Assistant Commissioner-Probation  
Assistant Director-Probation  
Chief of Planning, Research, Training and Staff Development-Probation  
Commissioner of Probation  
Deputy Commissioner-Probation  
Director of Program Development II (Probation)  
Program Administrator (Probation Staff Development)

**Professional Prequalification Board**

All Appointees/Members

**Professional Selection Board**

All Appointees/Members

**Public Administrator:**

Public Administrator

**Department of Public Safety:**

Chairman-Westchester County Taxi and Limousine Commission  
Commissioner/Sheriff  
Deputy Commissioner of Public Safety  
Director of Administrative Services (Management Operations)  
Director of Intelligence, Security and Counterterrorism  
Director-Office of Criminal Justice Services

**Department of Public Works:**

Commissioner of Public Works  
Deputy Commissioner of Public Works  
Director of Administrative Services (Management Operations)  
Director of Design Coordination  
Director of Operations (Public Works)  
First Deputy Commissioner of Public Works  
Principal Engineer (Field)

**Department of Senior Programs and Services:**

Commissioner of Senior Programs and Services  
Deputy Commissioner of Senior Programs and Services  
Director of Operations (Senior Programs and Services)

**Department of Social Services:**

Assistant Commissioner of Social Services  
Assistant Director of Division (Case Management Development)  
Associate Commissioner of Social Services  
Child Welfare Manager III  
Commissioner of Social Services  
Coordinator of Veterans Affairs  
Deputy Commissioner of Social Services (General)  
Director of Child Welfare  
Director of Operations (Commissioner's Office-Social Services)  
Director of Program Development II (Child Support Enforcement)  
Director of Program Development II (Staff Development)  
Director of Temporary Assistance  
Director-Fiscal Operations  
Director-Office of Employment and Training  
First Deputy Commissioner of Social Services  
Manager III  
Manager-Fiscal Operations  
Personnel Director-Social Services  
Temporary Assistance Manager III

**Solid Waste Licensing Commission:**

Deputy Director of Solid Waste Licensing  
Executive Director of Solid Waste Licensing  
All Appointees/Members

**Tax Commission:**

Executive Director of Tax Commission  
Program Administrator (Real Property Tax Services)

**Department of Transportation:**

Assistant Commissioner of Transportation (Airport)  
Commissioner of Transportation  
Deputy Commissioner of Transportation

**Department of Weights and Measures:**

Deputy County Sealer of Weights and Measures  
Deputy Director of Consumer Protection and Sealer of Weights and Measures  
Director of Consumer Protection

**Westchester Community College:**

Assistant Dean (WCC)  
Associate Dean (Business Administration and Public Services)  
Associate Dean (Community Services and Corporate Education)

Associate Dean (Distance Learning, Learning Resources and Instructional Technology)  
Associate Dean (Educational Opportunity Center)  
Associate Dean (Institutional Research)  
Associate Dean (Instructional and Learning Resources)  
Associate Dean (Math, Physical and Engineering Sciences and Medical Technology)  
Associate Dean (Natural and Health Sciences)  
Associate Dean (Student Personnel Services)  
Director of Program Development I (Institutional Advancement)  
Director-Fiscal Operations  
President-Community College  
Vice President and Dean of Academic Affairs-WCC  
Vice President and Dean of Administrative Services-WCC  
Vice President and Dean of Community Services and Corporate Education  
Vice President and Dean of Educational Opportunity Center/Community Services  
Vice President and Dean of External Relations  
Vice President and Dean of Student Personnel Services-WCC

Section 2. Section 883.71 of the Laws of Westchester County is hereby amended to read as follows:

Sec. 883.71. Disclosure forms.

1. There shall be two forms of the annual statement of financial disclosure. Form A shall be the form utilized by paid reporting officers and employees, including candidates for county elected office and all members appointed to voluntarily serve on the Parks, Recreation and Conservation Board, Planning Board, Solid Waste Commission, Board of Health, Professional Prequalification Board and Professional Selection Board. Form B shall be the form utilized by all other individuals engaged in voluntary service on County commissions and boards and who are not required to complete Form A. "Voluntary service" means service which does not entitle the volunteer to compensation of any kind, be it salary, per diem or stipend payments, but may include reimbursement for actual and reasonable expenses. Furthermore, a paid Westchester County officer or employee shall not be considered a volunteer even if he or she waives the right of compensation.

## **FORM A**

### **ANNUAL STATEMENT OF FINANCIAL DISCLOSURE FOR WESTCHESTER COUNTY FOR PAID COUNTY OFFICERS AND EMPLOYEES AND CANDIDATES FOR COUNTY ELECTED**

**OFFICE AND FOR ALL OF THE MEMBERS APPOINTED TO VOLUNTARILY SERVE ON THE PARKS, RECREATION AND CONSERVATION BOARD, PLANNING BOARD, SOLID WASTE COMMISSION, BOARD OF HEALTH, PROFESSIONAL PREQUALIFICATION BOARD AND PROFESSIONAL SELECTION BOARD FOR CALENDAR YEAR \_\_\_\_\_**

1. Name \_\_\_\_\_
2. (a) Title of Position \_\_\_\_\_  
 (b) Department, Agency or other Government Entity \_\_\_\_\_  
 (c) Address of Present Office \_\_\_\_\_  
 (d) Office Telephone Number \_\_\_\_\_
3. (a) Marital Status \_\_\_\_\_. If married, please give spouse's full name, including maiden name where applicable.

\_\_\_\_\_ (b) List the names of all unemancipated children.  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

(c) List the names of all parents, siblings, children, grandchildren, stepchildren and their spouses (including your own spouse) who worked for and were compensated by Westchester County during the reporting year. To the extent known, please also specify, for each of such names, the initial date of hire and whether the appointment was from a civil service list or discretionary (provisional, temporary, managerial, confidential, exempt, noncompetitive or labor).  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Answer each of the following questions completely, with respect to the immediately preceding calendar year, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories:

- Category A: Under \$5,000
- Category B: \$5,000 to under \$20,000
- Category C: \$20,000 to under \$60,000
- Category D: \$60,000 to under \$100,000
- Category E: \$100,000 to under \$250,000;b1:

Category F: \$250,000 or over

A reporting individual shall indicate the Category by letter only. For the purpose of this statement, anywhere the term "local agency" shall appear, such term shall mean a local agency of the County of Westchester as defined in section 810 of the General Municipal Law.

4. (a) List any office, trusteeship, directorship, partnership or position of any nature, including honorary positions, if known, and excluding membership positions, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership or other organization other than the State of New York or the County of Westchester. If said entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the names of any such agency.

Position	Organization	State or Local Agency

(b) List any office, trusteeship, directorship, partnership, or position of any nature, including honorary positions, if known, and excluding membership positions, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership or other organization other than the State of New York. If said entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency

5. (a) List the name, address and description of any occupation, employment, trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name and Address of Organization	Description	State or Local Agency

(b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession, which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name and Address of Organization	Description	State or Local Agency

6. List any interest, in excess of \$1,000.00, excluding bonds and notes, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member or corporation, ten per centum or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency, and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do not list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed; provided,

however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do not list any interest in a contract made or executed by a state agency after public notice and pursuant to process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value in Contract

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee or as a political party district leader. The term "party" shall have the same meaning as "party" in the Election Law. The term "political organization" means any party or independent body as defined in the Election Law or any organization that is affiliated with or a subsidiary of a party or independent body.

\_\_\_\_\_  
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 \_\_\_\_\_

8. (a) If the reporting individual practices law, is licensed by the Department of State as a real estate broker or agent or practices a profession licensed by the Department of Education, give a general description of the principal subject areas of matters undertaken by such individual. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation. Do not list the names of the individual clients, customers or patients.

\_\_\_\_\_  
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 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

(b) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000.00, excluding investments in securities and interests in real property.

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

9. List each source of gifts, excluding campaign contributions, in excess of \$1,000.00, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, excluding gifts from a relative. Include the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in Item 10. Indicate the value and nature of each such gift.

Self, Spouse or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift

10. Identify and briefly describe the source of any reimbursements for expenditures, excluding campaign expenditures and expenditures in connection with official duties reimbursed by the political subdivision for which this statement has been filed, in excess of \$1,000.00 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties, such as speaking engagements, conferences or fact-finding events. The term "reimbursements" does not include gifts reported under Item 9.

Source	Description

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans other than retirement plans of the State of New York or the City of New York, and deferred compensation plans established in accordance with the Internal Revenue Code, in which the reporting individual held a beneficial interest in excess of \$1,000.00 at any time during the preceding year. Do not report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value*

\* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise or other agreement between the reporting individual and any person, firm or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the reporting individual in excess of \$1,000.00 from a prior employer other than Westchester County. (This includes interests in or contributions to a pension fund, profit-sharing plan or life or health insurance; buy-out agreements; severance payments; etc.)

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

13. List below the nature and amount of any income in excess of \$1,000.00 from each source for the reporting individual and the reporting individual's spouse for the taxable year last occurring prior to the date of filing. Nature of income includes, but is not limited to, salary for

government employment, income from other compensated employment, whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse	Source	Nature	Category of Amount

14. List the sources of any deferred income in excess of \$1,000.00 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in Item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount

15. List each assignment of income in excess of \$1,000.00 and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000.00, which would otherwise be required to be reported herein and is not or has not been so reported.

Items	Assigned or	Category of

Assigned or Transferred	Transferred to	Value

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in excess of \$1,000.00 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity, exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed only if the reporting individual has knowledge thereof, except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit, in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership if the reporting person or the reporting person's spouse holds more than five percent of the stock of a corporation in which the stock is publicly traded or more than ten percent if such stock is not publicly traded. Also list securities owned for investment purposes by a corporation more than 50 percent of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item, the term "securities" shall mean bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in Item 8(a) or if the security is corporate stock, not publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Self/Spouse	Issuing Entity	Type of Security	Category of Market Value as of the Close of the Taxable Year Last Occurring Prior to the Filing of	Percentage of Corporate Stock owned or Controlled

			This Statement	

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in excess of \$1,000.00 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than 50 percent of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do not list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/Spouse Other Party	Location	Size	General Nature	Acquisition Date	Category of Market Value	Percentage of Ownership

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in excess of \$1,000.00, including the name of debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in Item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due and Nature of Collateral, If Any	Category of Amount


19. List below all liabilities of the reporting individual and such individual's spouse, in excess of \$5,000.00 as of the date of filing of this statement, other than liabilities to a relative. Do not list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Revolving charge account information shall only be set forth if liability thereon is in excess of \$5,000.00 at the time of filing. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, If Any	Category of Amount

The requirements of law relating to the reporting of financial interests are in the public interest, and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

The reporting of information in this statement is required by New York State General Municipal Law and the Laws of Westchester County. Improper use of the information contained in this statement by any third person or entity in violation of privacy or other rights is separately punishable in accordance with the law. Please be advised that, pursuant to New York State law, this statement is available in its entirety for public inspection and reproduction, but any information within the statement deemed exempt by New York State law or the Laws of Westchester County shall be redacted accordingly.

_____	_____
Signature of Reporting Individual	Date (month/day/year)

**FORM B**

**ANNUAL STATEMENT OF FINANCIAL DISCLOSURE FOR  
WESTCHESTER COUNTY FOR MEMBERS OF VOLUNTARY  
BOARDS AND COMMISSIONS, EXCLUDING THE MEMBERS  
OF THE THOSE BOARDS OR COMMISSIONS REQUIRED TO  
COMPLETE FORM A  
CALENDAR YEAR \_\_\_\_\_**

_____	_____	_____
Last Name	First Name	Initial

\_\_\_\_\_

Voluntary Council/Board/Commission Appointment

_____	_____
Current Employer/Business	Title/Position

_____	_____
Work Address	Work Phone No.

_____	_____
Home Address	Home Phone No.

Marital Status \_\_\_\_\_. If married, please give spouse's full name, including maiden name where applicable. \_\_\_\_\_

List the names of all children under 18 living with you at home:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**The purpose of this disclosure statement is to identify potential conflicts that may exist between private and public life with respect to your**

**duties while engaging in voluntary service on a Westchester County commission or board. “Voluntary service” means service which does not entitle the volunteer to compensation of any kind, be it salary, per diem or stipend payments, but may include reimbursement for actual and reasonable expenses. Please follow all directions carefully and attach additional pages, if necessary. Disclosure of the information on this form is required for compliance with the Westchester County Code of Ethics and New York State General Municipal Law.**

### 1. Outside Employment & Businesses

List the name of every employer or business from which you were paid more than \$5,000 for services performed or for goods sold or produced, whether you were a paid member, officer, director, or employee, during the reporting period. Do not list the County of Westchester as an employer (this information is covered in question 3 below) or individual customers or clients of the business. Do not list businesses in which you were an investor only (these are identified in question 2 below). Identify the nature and type of the business, such as a partnership, corporation, limited liability company, or sole proprietorship, and list your relationship(s) to the employer or business (i.e., owner, partner, officer, director, member, employee and /or shareholder) and state your position with the employer. Provide the same information for your spouse.

Name	Relationship to You	Name of Employer or Business	Nature of Business	Type of Business	Position Held
<i>E.g.: John Doe</i>	<i>Husband</i>	<i>ABC Realty</i>	<i>Real estate</i>	<i>Partnership</i>	<i>Employee</i>

None.

### 2. Privately Held Investments

List the name of any privately held entity in which, during the reporting period, you had an ownership interest of at least 20% of the entity or an investment with a total value of at least \$50,000 in the entity. Do not list any entity listed in response to question 1 above or any publicly traded entity. Identify the nature of the business and the type of business (e.g., corporation, limited liability company, partnership). Provide the same information for your spouse or children under 18 living with you at home.

Name	Relationship to You	Name of Entity	Nature of Business	Type of Business
<i>E.g.: Mary Doe</i>	<i>Self</i>	<i>R&amp;D Investigations</i>	<i>Private investigations</i>	<i>Corporation</i>


None.

### 3. Westchester County Employment

List any and all relatives, defined with respect to this question only as your spouse, children, siblings, parents or in-laws, including yourself, who were employed by the County of Westchester in a permanent, full time capacity during the reporting period. Please include the position, or title, and the department.

Name	Relationship to You	Position Held/Title	County Department
<i>E.g.: Ralph Roe</i>	<i>Father-in-law</i>	<i>Attorney</i>	<i>Law Dept.</i>

None.

### 4. Government and Not-for-Profit Positions

List each office or position, whether paid or unpaid, that you held during the reporting period with any federal, state, or municipal government entity or with any not-for-profit organization. Do not list entities where you were only a member but had no office or position. Do not list entities where you only volunteered in a non-policymaking, non-administrative capacity, such as a neighborhood Girl Scout leader or a Little League coach. Do not list any office or position reported elsewhere on this form.

Entity or Organization	Position
<i>E.g.: Westchester County League of Women Voters</i>	<i>Board Member</i>

None.

### 5. Gifts

List each gift worth \$75 or more that you or your spouse received from any person, firm or entity that has or had a matter pending during the reporting period before the voluntary board or commission upon which you serve. For purposes of this question, “matter pending” means a formal request for a contract, goods, services, funding, license or permit. A “gift” means anything of value for which you or your spouse paid nothing or paid less than the fair market value and may be in the form of money, services, reduced interest on a loan, travel, travel reimbursements, entertainment, hospitality, or in any other form. Note that separate gifts from the same or affiliated donor during the reporting period must be added together for purposes of the \$75 threshold.

Name	Relationship to You	Donor of Gift	Nature of Gift
<i>E.g.: Mary Doe</i>	<i>Self</i>	<i>XYZ Corp.</i>	<i>Free trip to Atlantic City.</i>

None.

The reporting of information in this statement is required by New York State General Municipal Law and the Laws of Westchester County. Improper use of the information contained in this statement by any third person or entity in violation of privacy or other rights is separately punishable in accordance with the law. Please be advised that, pursuant to New York State law, this statement is available in its entirety for public inspection and reproduction, but any information within the statement deemed exempt by New York State law or the Laws of Westchester County shall be redacted accordingly.

*I certify that all of the above information is true to the best of my knowledge and that, within the past two weeks, I have read the attached summary of the Westchester County Code of Ethics.*

Dated

Signature

2. A copy of the appropriate financial disclosure form shall be included with every letter of appointment to paid reporting officers and volunteers to a County board or commission.

3. Any candidate for county office, officer, employee, or volunteer who is required to complete and submit this annual statement and who knowingly and willfully fails to so submit an annual statement of financial disclosure or who knowingly and willfully with intent to deceive makes a false statement or gives information on such statement which such individual knows to be false filed

pursuant to this chapter shall be assessed a civil penalty in an amount not to exceed \$10,000.00. Assessment of a civil penalty hereunder shall be made by the appropriate body, as such term is defined in section 883.11 of this chapter. For a violation of this subsection other than for conduct which constitutes a violation of Subdivision 12 of section 73 of the Public Officers Law, the appropriate body may, in lieu of a civil penalty, refer a violation to the appropriate prosecutor and, upon such conviction, but only after such referral, such violation shall be punishable as a Class A Misdemeanor. A civil penalty for false filing may not be imposed hereunder in the event a category of "value" or "amount" reported hereunder is incorrect unless such reported information is falsely understated or overstated. Notwithstanding any other provision of law to the contrary, no other penalty, civil or criminal, may be imposed for a failure to file, or for a false filing, of such statement, except that the appointing authority may impose disciplinary action as otherwise provided by law. Each appropriate body shall adopt rules governing the conduct of adjudicatory proceedings and appeals relating to the assessment of the civil penalties herein authorized. Such rules shall provide for due process procedural mechanisms substantially similar to those set forth in Article 3 of the State Administrative Procedure Act, but such mechanisms need not be identical in terms or scope. Assessment of a civil penalty shall be final unless modified, suspended or vacated within 30 days of imposition and, upon becoming final, shall be subject to review at the instance of the affected reporting individual in a proceeding commenced against the appropriate body, pursuant to Article 78 of the Civil Practice Law and Rules.

Section 3. Section 883.81 of the Laws of Westchester County is hereby amended to read as follows:

Sec. 883.81. Annual statement of financial disclosure; filing; exceptions and extensions.

1. Any person required to file an annual statement of financial disclosure pursuant to this chapter, (*i.e.*, Form A to be filed by paid reporting officers and employees, including candidates for county elected office and all members appointed to voluntarily serve on the Parks, Recreation and Conservation Board, Planning Board, Solid Waste Commission, Board of Health, Professional Prequalification Board and Professional Selection Board and Form B to be filed by all other individuals engaged in voluntary service on County commissions and boards and who are not required to complete Form A) shall submit such completed form on or before the first day of May of each year to the Chairman of the Board of Legislators or the County Executive or the designee of either, as the case may be, except that candidates for county elected office may file such forms directly with the appropriate agency. Such form may be submitted in a sealed envelope if the reporting individual so chooses.

Section 4. This Local Law shall take effect immediately.

